

**SERGIO ALBERTO GRAMITTO RICCI**

Associate Professor of Law  
(415) 328-0756  
sergiogramitto@gmail.com

*My main strand of research investigates how share ownership and corporate governance determine the ability of the everyday citizen to participate in the corporate sector. I also study how artificial intelligence and other disruptive technologies challenge traditional corporate law frameworks.*

**ACADEMIC POSITIONS**

BROOKLYN LAW SCHOOL, NEW YORK, NY  
*Visiting Associate Professor of Law (Starting August 2024)*

Courses:  
*Corporations*  
*Corporate Governance & Technology*

UNIVERSITY OF MISSOURI–KANSAS CITY SCHOOL OF LAW, KANSAS CITY, MO  
*Associate Professor of Law (August 2023-Present)*

Courses:  
*Business Organizations*  
*Corporate Governance*  
*Corporations, Law, & Technology*  
  
Service: *Student Affairs Committee (August 2023-Present)*

NEW YORK UNIVERSITY SCHOOL OF LAW, NEW YORK, NY  
*Jacobson Fellow, [Law and Business Entrepreneurship Fellow](#) (September 2021-August 2023)*

UNIVERSITY OF MILAN, MILAN, ITALY  
*Visiting Professor of Law (May 2023)*

Taught classes within the *European Company Law* course (in English) and within the *Diritto Commerciale (Business Organizations)* course (in Italian)  
Conducted Research on the role of corporate lawyers as gatekeepers of generational values  
Presented work-in-progress papers

MAURICE A. DEANE SCHOOL OF LAW AT HOFSTRA UNIVERSITY, HEMPSTEAD, NY  
*Visiting Associate Professor of Law (January 2023-May 2023)*

Courses:  
*Business Organizations*  
*Corporate Governance*

MONASH BUSINESS SCHOOL, DEPARTMENT OF BUSINESS LAW AND TAXATION,  
MELBOURNE, AUSTRALIA  
*Lecturer (tenure-track) (August 2019-September 2021)*

Courses:  
*Australian Corporations Law* (mainstream course; more than 200 students)  
*Current Issues in Corporate Governance and Regulation* (commended by the Dean as a course that “students consider to be outstanding”)  
  
Service: *Research Committee (August 2019-September 2021)*

CORNELL LAW SCHOOL, ITHACA, NY

*Visiting Assistant Professor of Law (January 2018-June 2019)*

Courses:

*Business Organizations*

*Corporations and Other Legal Persons*

*Law and Policy of Food Systems* (with Gerald Torres)

*Assistant Director, Clarke Program on Corporations & Society (2016-2019)*

Advanced the collective understanding of business corporations and their relationship with society through academic events, outreach initiatives, and scholarship.

*Adjunct Professor of Law (2015-2017)*

Courses: *Business Organizations, Comparative Corporate Governance, and Corporations and Other Legal Persons*

## **PUBLICATIONS**

### **Books**

[CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL](#) (BERRETT-KOEHLER PRESS, 2019) (WITH LYNN STOUT & TAMARA BELINFANTI)

- The article [Realization of Democratic Capitalism by Universal Fund](#), 35 THE RITSUMEIKAN JOURNAL OF INTERNATIONAL STUDIES 87 (2023) investigates the adoption of our proposal in Japan
- Reviewed in 73 BANCA, BORSA E TITOLI DI CREDITO (2020)
- Featured on [Business Law Prof Blog](#), April 19, 2019
- Featured in [INTERNATIONAL POLICY DIGEST](#), February 24, 2019
- Reviewed in [EURASIA REVIEW](#), January 31, 2019
- Featured in [THE HILL](#), December 10, 2018

### **Book Chapters & Encyclopedia Entries**

*Retail Investors & Compliance*, ELGAR CONCISE ENCYCLOPEDIA OF COMPLIANCE LAW (JAMES FANTO, ED.) (EDWARD ELGAR PUBLISHING) (forthcoming 2025) (with Lucas Moskovitz)

*Reputation, Stakeholders, & Compliance*, ELGAR CONCISE ENCYCLOPEDIA OF COMPLIANCE LAW (JAMES FANTO, ED.) (EDWARD ELGAR PUBLISHING) (forthcoming 2025) (with Christina M. Sautter)

[Harnessing the Collective Power of Retail Investors](#), A RESEARCH AGENDA FOR CORPORATE LAW (CHRISTOPHER M. BRUNER & MARC MOORE, EDS.) (EDWARD ELGAR PUBLISHING) (2023) (with Christina M. Sautter)

- Featured on [Columbia Law School's Blog on Corporations and Capital Markets \(The CLS Blue Sky Blog\)](#), August 31, 2022
- Featured on [The University of Oxford, Oxford Business Law Blog \(OBLB\)](#), September 15, 2022

### **Articles and Other Law Journal Contributions**

*Total Governance*, 50 J. CORP. L. (forthcoming 2025) (with Daniel Greenwood)

*Legal Personhood for Artwork*, 76 UC L.J. (forthcoming 2025)

*Corporate Lawyers in a Shame-Culture Era*, ACCT. ECON. & L. (forthcoming 2025)

[\*The Vitruvian Shareholder\*](#), 75 FLA. L. REV. F. 113 (2024)

[\*Wireless Investors & Apathy Obsolescence\*](#), 100 WASH. U. L. REV. 1653 (2023) (with Christina M. Sautter) (2023 Institute for Law and Economic Policy Symposium *Regulating the Upheavals in the Securities Markets and Corporate Boardrooms*)

[\*The Educated Retail Investor: A Response to “Regulating Democratized Investing.”\*](#) 83 OHIO ST. L.J. ONLINE 205 (with Christina M. Sautter)

- Featured on [Business Law Prof Blog](#), May 20, 2023
- Featured on [TheCorporateCounsel.net](#), August 19, 2022

[\*The Corporate Forum\*](#), 102 B.U. L. REV. 1861 (2022) (with Christina M. Sautter)

- Featured on [Business Law Prof Blog](#), May 20, 2023

[\*Sacred Corporate Law\*](#), 45 SEATTLE U. L. REV. 413 (2021) (with Mohamed Arafa and Giancarlo Anello) (Berle XII Symposium)

- Featured on [Islamic Law Blog](#), September 9, 2022

[\*The Wireless Investors Movement\*](#), U. CHI. BUS. L. REV.: ONLINE EDITION (2022) (with Christina M. Sautter) (inaugural guest academic article)

- Featured on [The FinReg Blog, Global Financial Markets Center at Duke University School of Law](#), September 23, 2022
- Featured in [Forbes](#), March 28, 2022

[\*Corporate Governance Gaming: The Collective Power of Retail Investors\*](#), 22 NEV. L.J. 51 (2021) (with Christina M. Sautter)

- Featured on [Business Law Prof Blog](#), May 20, 2023
- Featured in [FORBES](#), March 28, 2022
- Featured on [The FinReg Blog, Global Financial Markets Center at Duke University School of Law](#), March 28, 2022
- Featured in [Trump’s Social Media Platform To Merge With SPAC: An Insider’s Look At SPACs](#), FORBES, October 23, 2021
- Featured on Machine Lawyering Blog of the Centre for Financial Regulation and Economic Development at The Chinese University of Hong Kong, June 9, 2021
- Featured in [Business Scholarship Podcast](#), August 19, 2021
- Featured on [Oxford Business Law Blog](#), May 19, 2021
- Featured on [TheCorporateCounsel.net](#), May 14, 2021
- Featured on [Columbia Law School’s Blog on Corporations and the Capital Markets \(The CLS Blue Sky Blog\)](#), May 4, 2021
- Featured on [Business Law Prof Blog](#), April 1, 2021

[\*Artificial Agents in Corporate Boardrooms\*](#), 105 CORNELL L. REV. 869 (2020)

- Translated in Spanish for republication in the *Revista de la Facultad de Jurisprudencia of the Pontificia Universidad Católica del Ecuador* (forthcoming 2025)
- Featured in [Bite-Sized Business Law Podcast](#) of Fordham University School of Law, May 21, 2024
- Featured in [FORBES](#), February 8, 2021
- Featured on [Business Law Prof Blog](#), September 1, 2020

[\*Archeology, Language, and Nature of Business Corporations\*](#), 89 MISS. L.J. 43 (2019)

- Featured on [Business Law Prof Blog](#), August 19, 2018

[\*Corporate Governance as Privately-Ordered Public Policy: A Proposal\*](#), 41 SEATTLE U. L. REV. 551 (2018) (with Lynn Stout) (Berle IX Symposium)

- Featured on [Harvard Law School Forum on Corporate Governance](#), November 21, 2017
- Republished in 1 [RIVISTA ORIZZONTI DEL DIRITTO COMMERCIALE](#) (2018)

*The Abstract Void in Practice: Has the Statutory Business Judgment Rule Changed the 'Acoustic Separation' Between Conduct and Decision Rules for Directors' Duty of Care?*, 31 AUSTL. CORP. L.J. 107 (2016) (with Jake Miyairi)

## Commentaries & OpEds

[\*New Hollywood Blockbuster Depicts a Triumph for Savvy Retail Investors But It's Just the Beginning\*](#), FOX BUSINESS (September 20, 2023) (with Christina M. Sautter) (OpEd)

## Work-in-Progress Papers

*The Shareholder Democracy Lie* (with Daniel Greenwood & Christina M. Sautter)

- Featured in [Fortune](#), July 30, 2024

*Corporate Governance & Public Opinion*

*Complicating the Taxonomy of Publicness in Corporate Law* (with Steve Kourabas)

## Blog Posts

[\*Millennials and GenZ'ers Have Only One Lifetime to Change the World with Their Investments\*](#), The FinReg Blog, Duke Financial Economics Center at Duke University School of Law (September 23, 2022) (with Christina M. Sautter)

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), The University of Oxford, Oxford Business Law Blog (OBLB) (September 15, 2022) (with Christina M. Sautter)

[\*How Retail Investing Improves Corporate Governance and Benefits Society\*](#), The CLS Blue Sky Blog (the Columbia Law School's Blog on Corporations and Capital Markets) (August 31, 2022) (with Christina M. Sautter)

[\*Is the Debate on Shareholders and Stakeholders Obsolete?\*](#), The FinReg Blog, Global Financial Markets Center at Duke University School of Law (March 28, 2022) (with Anat Alon-Beck & Christina M. Sautter) (expanded into a [Forbes](#) article)

*WallStreetBets, GameStop, and the Rise of 'Wireless' ESG Retail Investors*, Machine Lawyering Blog of the Centre for Financial Regulation and Economic Development at The Chinese University of Hong Kong, (June 14, 2021) (with Christina M. Sautter)

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), The University of Oxford, Oxford Business Law Blog (OBLB) (May 19, 2021) (with Christina M. Sautter)

[\*WallStreetBets, GameStop, and the Rise of ESG Retail Investors\*](#), The CLS Blue Sky Blog (the Columbia Law School's Blog on Corporations and Capital Markets), (May 4, 2021) (with Christina M. Sautter)

[Corporate Governance as Privately-Ordered Public Policy: A Proposal](#), The Harvard Law School Forum on Corporate Governance (November 21, 2017) (with Lynn Stout)

## **PUBLIC ENGAGEMENT & COMMENT LETTERS**

[Comment Letter](#) on SEC proposed rule “Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisors,” File No. S7-12-23, filed October 9, 2023 (with Christina M. Sautter)

- Featured in Stakeholder Labs, [Fintech Giants Prepare for New Regulatory Paradigm](#), THE ROUNDTABLE ROUNDUP, October 11, 2023

## **EDUCATION**

BOCCONI UNIVERSITY, MILAN, ITALY

*Ph.D. in Law of Business and Commerce, April 2015*. Full Fellowship (Ph.D. Advisor: Lynn Stout)  
Dissertation on the origins and attributes of corporations with emphasis on the rationales of delegated management

UNIVERSITY OF MILAN, MILAN, ITALY

*Laurea Magistrale in Law (J.D. equivalent), 110 e lode (summa cum laude equivalent), October 2009*  
Thesis on tracking stocks in the United States and in Italy

UNIVERSITY OF MILAN, MILAN, ITALY

*Legal Sciences, November 2007*

## **GRANTS**

ENGAGED CORNELL, ITHACA, NY

*Development Grant (2017)*

\$80,000.00 grant to develop the *Law and Policy of Food Systems* course with the Cornell Cooperative Extension

## **OUTSIDE SERVICE**

CENTER FOR RETAIL INVESTORS & CORPORATE INCLUSION

*Founder & Incoming Director (2024-present)*

Promoting high quality scholarship, communication initiatives to increase investing education, and academic events

REVISTA DE LA FACULTAD DE JURISPRUDENCIA – PONTIFICIA UNIVERSIDAD CÁTOLICA DEL ECUADOR

*Guest Editor for the Special Issue on “Artificial Intelligence in Legal Practice” (2023-present)*

Editorial responsibility for a special issue on law and artificial intelligence of the oldest Ecuadorian law journal

BOCCONI UNIVERSITY – PH.D. PROGRAM

*Member of the Stakeholder Board (2023-present)*

Advising on pedagogy, curriculum design, and research

## CAMBRIDGE UNIVERSITY PRESS

*Peer Reviewer (2024)*

Peer-reviewed book proposal on corporate law and securities markets

## OXFORD UNIVERSITY PRESS

*Peer Reviewer (2024)*

Peer-reviewed book proposal on corporate law and society

## JOURNAL OF CORPORATE LAW STUDIES

*Peer Reviewer (2023)*

Peer-reviewed an article on corporate law

## AMERICAN SOCIETY OF COMPARATIVE LAW (ASCL)

*Member of the Affiliates and Engagement Advisory Group of the Younger Comparativists Committee (YCC) (2022-present)*

Engagement, outreach, and institutional activities such as developing connections to other organizations globally, organizing informal networking opportunities at academic conferences, developing programs and outreach to future YCC affiliates, and administering and selecting the recipient of the Phanor J. Eder J.D. Prize in Comparative Law

## YALE LAW JOURNAL

*Expert Referee (2022)*

Peer-reviewed an article on corporate law

## LAW AND SOCIETY ASSOCIATION

*Organizer of the Collaborative Research Network on Corporate and Securities Law in Society (2020-2022)*

Launched and ran an online workshop series, the *CRN 46 Corporate and Securities Law in Society - Online Workshop Series*. Organized academic events within the *2021 Law and Society Association Annual Meeting* (held online) and the *2022 Law and Society Association Global Meeting* (held in Lisbon, Portugal)

## RESEARCH VISITS

### UNIVERSITY OF MILAN, MILAN, ITALY

*Visiting Researcher (December 2019-January 2020)*

Researched how technology can facilitate citizen access to corporate governance

### CORNELL LAW SCHOOL, ITHACA, NY

*Visiting Scholar (July 2013-January 2015)*

Wrote Ph.D. dissertation on the origins and attributes of the corporate form based on research at the junction of contemporary U.S. corporate law and Roman law

### SYDNEY UNIVERSITY LAW SCHOOL, SYDNEY, AUSTRALIA

*International Visitor, The Ross Parsons Centre of Commercial, Corporate and Taxation Law (Spring 2015)*

Researched the origins and nature of business corporations

### UCLA SCHOOL OF LAW, LOS ANGELES, CA

*Visiting Researcher (Spring 2009)*

Wrote a thesis on tracking stocks for my *Laurea Magistrale in Law* (J.D. equivalent) degree

## **GUEST & SHORT-TERM TEACHING**

UNIVERSITY OF MICHIGAN LAW SCHOOL, ANN ARBOR, MI  
Guest Teaching. Course: *History of Corporations* (2023)

UNIVERSITY OF MILAN, MILAN, ITALY  
Guest Teaching. Course: *European Company Law* (2022)

UNIVERSITY OF TRENTO, TRENTO, ITALY  
Guest Teaching. Course: *Economics and Social Responsibility of Business Corporations* (2021)

UNIVERSITY OF MILAN, MILAN, ITALY  
Guest Teaching. Course: *European Company Law* (2021)

UNIVERSITY OF ROME—LA SAPIENZA, ROME, ITALY  
Guest Teaching. Course: *Remote Work Lab* (2021)

UNIVERSITY OF PARMA, PARMA, ITALY  
Guest Teaching. Course: *Law, Economics, and Culture* (2020)

UNIVERSITY OF MILAN, MILAN, ITALY  
Guest Teaching. Course: *Comparative Private Law* (2020)

UNIVERSITY OF MILAN, MILAN, ITALY  
Guest Teaching. Course: *European Company Law* (2020)

UNIVERSITY OF MINNESOTA LAW SCHOOL  
Guest Teaching. Course: *Law and Economics Seminar* (2018)

BOCCONI UNIVERSITY, MILAN, ITALY  
Short-Term Teaching. Course: *Diritto Commerciale (Business Organizations) (in Italian)* (2016)

BOCCONI UNIVERSITY, MILAN, ITALY  
Short-Term Teaching. Course: *Diritto Commerciale (Business Organizations) (in Italian)* (2015)

## **INDUSTRY ENGAGEMENT & THINK-TANKS**

Responsible Artificial Intelligence Institute, [AI's Impact on Our Sustainable Future: A Guiding Framework for Responsible AI Integration into ESG Paradigms](#) (June 26, 2024) (contributor)

Year in Review 2023, iconik (feature and quotation)

[IR triumphs of 2023: What it takes to win an IR Magazine Award – The Ticker 145](#), IR Magazine The Ticker Podcast (December 20, 2023) (podcast)

iconik October 2023 Newsletter Update, iconik (October 2023) (feature)

Public.com, [The Retail Investor Report](#) (August 2023) (quotation and article feature)

[Introduction to 'Wireless Investors' 101 with Professors Christina Sautter and Sergio Alberto Gramitto Ricci](#), STAKEHOLDER LABS (April 2023) (podcast)

[Capitalism 3.0](#), iconik Forum 2023, Berkeley School of Law, (July 11, 2023 – Berkeley, CA) (panel)



Institute for the Future (IFTF), [Equitable Enterprise Initiative \(EEI\)](#), Equitable Corporations Workshop (June 28, 2022) (online panel)

Institute for the Future (IFTF), [Equitable Enterprise Initiative \(EEI\)](#), EEI Deep Dive Conversation (March 14, 2022) (online lecture on “Citizen Shareholding”)

## SELECTED INTERVIEWS AND MEDIA APPEARANCES

[How Memestock Mania Forced CEO Vlad Tenev to Reinvent Robinhood—and Himself](#), Fortune, August 30, 2024

- Quotation
- Feature of *The Shareholder Democracy Lie* (with Daniel Greenwood & Christina M. Sautter)

[Sergio Alberto Gramitto Ricci on Inviting Artificially Intelligent Directors into the Boardroom](#), Bite-Sized Business Law Podcast of Fordham University School of Law, May 21, 2024

- Podcast Interview

*L’Era dei “Wireless Investor” e le Conseguenze su Mercati e Quotate (The Wireless Investor Era and Its Ramifications on Markets and Corporations)*, ITALIAN CNBC, May 23, 2023

- Live Television Interview During the Financial Morning News

[Meme Stocks and Retail Investors](#), Getting Common Voice America Radio Show, March 30, 2022

- Radio Interview

[We Are All ‘stakeholderists’ Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled](#), FORBES, March 28, 2022

- Feature of [Corporate Governance Gaming: The Collective Power of Retail Investors](#), 22 NEV. L.J. 51 (2021) (with Christina M. Sautter)

[Christina Sautter & Sergio Gramitto Ricci on Retail Investors](#), Business Scholarship Podcast, August 19, 2021

- Podcast Interview

[Trump’s Social Media Platform To Merge With SPAC: An Insider’s Look At SPACs](#), FORBES, October 23, 2021

- Quotation
- Feature of [Corporate Governance Gaming: The Collective Power of Retail Investors](#), 22 NEV. L.J. 51 (2021) (with Christina M. Sautter)

[The Retail Trading Army Mobilize for Their Next Steps](#), AUSBIZ, June 23, 2021

- Live Video Interview

[Artificial Intelligence in The Corporate Boardroom](#), FORBES, February 8, 2021

- Interview
- Feature of [Artificial Agents in Corporate Boardrooms](#), 105 CORNELL L. REV. 869 (2020)

[How a Universal Fund Works. Interview with Author Sergio Gramitto](#), INTERNATIONAL POLICY DIGEST, February 24, 2019

- Interview



## LEGAL EXPERIENCE

PEDERSOLI GATTAI, MILAN, ITALY

*Corporate Law and M&A Associate (2012-2013)*

- Advised boards of directors and investors in M&A transactions
- Seconded for three months to Cerved S.p.A. (in-house legal office)—supported management in deals and strategic project design

GALBIATI, SACCHI E ASSOCIATI, MILAN, ITALY

*Corporate Law and Finance Practice (2009-2012)*

- Advised boards of directors of listed companies and banks on corporate governance, securities regulation, and banking regulation matters

## ACADEMIC PRESENTATIONS

Fordham Law School, Forum *The Investor Generation: Expanding Access to the Stock Market through Financial Education and Emerging Technology* Forum (forthcoming October 17, 2024 – New York, NY), Panelist

University of Pittsburgh, *WINIR Conference on Institutional Resilience & Recovery* (September 20 – Pittsburgh, PA) Presented “Corporate Governance & Public Opinion”

The Southeastern Association of Law Schools, 2024 SEALS Annual Conference, Business Law Workshop *Regulatory Birthday Party* (July 25, 2024 – Fort Lauderdale, FL), Panelist

The Southeastern Association of Law Schools, 2024 SEALS Annual Conference, Business Law Workshop *Teaching Numeracy* (July 24, 2024 – Fort Lauderdale, FL), Panelist

The Southeastern Association of Law Schools, 2024 SEALS Annual Conference, Business Law Workshop *Are Corporations and Financial Institutions Too Woke?* (July 21, 2024 – Fort Lauderdale, FL), Panelist

University of Coimbra School of Law, International Workshop *Corporate Governance Discoveries and Innovations* (July 8, 2024 – Coimbra, PT), presented “Total Governance”

Bocconi University, Workshop *Corporate Governance & Reputation* (July 5, 2024 – Milan, IT), presented “The Vitruvian Shareholder”

European University Institute, Conference *Navigating Trends in Corporate Governance in a Globalized Economy: Intersections of Governance, Finance, and Society: A Transatlantic Dialogue* (July 1, 2024 – Fiesole, IT), presented “Total Governance”

University of Missouri at Kansas City School of Law, Roundtable *Retail Investors and Societal Engagement* (May 16, 2024 – Kansas City, MO), presented “The Vitruvian Shareholder”

University of Milan, School of Law, Workshop (April 4, 2024 – Milan, IT), presented “Corporate Governance & Public Opinion”

Brigham Young University, J. Reuben Clark Law School, 2024 BYU Winter Deals Conference (March 13-16, 2024, Park City, UT), presented “Total Governance”

Washington & Lee School of Law, Global Conversations in International Business Transactions, Workshop *Managing a Global Corporate Reputation in a Fragmented World* (March 5, 2024 –

online), presented highlights of “Corporate Lawyers in a Shame-Culture Era” and “Total Governance”

Bocconi University, Workshop *Law and Access to Wealth Creation Workshop* (December 18, 2023 – Milan, IT), presented “Granular Access to Corporate Influence and Income”

Italian Law and Economics Annual Meeting (December 14, 2023 – Brescia, IT), presented “Granular Access to Corporate Influence and Income”

Hofstra University, School of Law School, Workshop (November 20, 2023 – Hempstead, NY), presented “The Vitruvian Shareholder”

Gonzaga University, School of Law School, Faculty Workshop (November 3, 2023 – Spokane, WA), presented “The Vitruvian Shareholder”

University of Wisconsin-Madison, Law School, Conference *Corporate Law at a Crossroad* (October 20, 2023 – Madison, WI), presented “The Vitruvian Shareholder”

University of Genoa, School of Law, Workshop *New Trends in Corporate Governance* (July 12, 2023 – Genoa, IT), presented “The Vitruvian Shareholder”

Berkeley School of Law, Center for Law and Business, iconik Forum 2023 (July 11, 2023 – Berkeley, CA), Panel *Capitalism 3.0*, Panelist

Bocconi University, Workshop *The Corporate Governance Landscape* (July 6, 2023 – Milan, IT), presented “Corporate Lawyers in a Shame-Culture Era”

Law & Society Association, 2023 Annual Meeting, Conference *Separate and Unequal* (June 1-4, 2023 – San Juan, Puerto Rico), Roundtable Session *Increasing Diversity in Corporate Governance*, presented “Corporate Lawyers in a Shame-Culture Era”

University of Milan, School of Law, Workshop *Wireless Investors & Apathy Obsolescence* (May 23, 2023 – Milan, IT), presented “Wireless Investors & Apathy Obsolescence”

University of Milan, School of Law, Roundtable *Business Lawyers as Gatekeepers of Generational Values* (May 18, 2023 – Milan, IT), Featured Speaker

The George Washington University Law School, Workshop *The New Generation of International Lawyers and Their Role as Gatekeepers* (April 5, 2023 – Washington, DC), presented “Corporate Lawyers in a Shame-Culture Era”

Maurice A. Deane School of Law at Hofstra University, Workshop *New Frontiers for Legal Personhood* (March 30, 2023 – New York, NY), presented “Legal Personhood for Artwork”

Columbia Law School, Workshop *Rethinking Institutional and Retail Investors* (March 23, 2023 – New York, NY), presented “Granular Access to Corporate Influence and Income”

Brigham Young University, J. Reuben Clark Law School, 2023 BYU Winter Deals Conference (March 9-10, 2023, Park City, UT), presented “Granular Access to Corporate Influence and Income”

Institute for Law and Economic Policy, 2023 Symposium *Regulating the Upheavals in the Securities Markets and Corporate Boardrooms* (January 26-27, 2023 – San Diego, CA), presented “Wireless Investors & Apathy Obsolescence” (with Christina M. Sautter)

Society of Socio-Economists, 2023 Annual Meeting, Roundtable *Perspectives on Corporate Finance and Corporate Governance* (January 13, 2023 – online), presented “Granular Access to Corporate Influence and Income”

WINIR, Conference *Polycentric Governance & the Challenges of the 21<sup>st</sup> Century* (September 6-9, 2022 – online), presented “Granular Access to Corporate Influence and Income”

Law & Society Association, 2022 Global Meeting, Conference *Rage, Reckoning, & Remedy* (July 13-16, 2022 – Lisbon, PT), Roundtable Session *Present and Future of Corporations in Society*, presented “Granular Access to Corporate Influence and Income”

University of Milan, Faculty of Law, Workshop *Law and Business of the Contemporary Corporation* (July 11, 2022 – Milan, IT), presented “Harnessing the Collective Power of Retail Investors”

Scuola Superiore Sant’Anna, Roundtable *The Corporate Form and Society* (July 8, 2022 – Pisa, IT), presented “Sacred Corporate Law” (with Giancarlo Anello)

Bocconi University, Workshop *Corporate Law, Society, and the Planet* (July 6, 2022 – Milan, IT), presented “The Corporate Forum”

King’s College London, The Dickson Poon School of Law, Faculty Workshop (May 11, 2022 – online), presented “The Corporate Forum”

Brigham Young University, J. Reuben Clark Law School, 2022 BYU Winter Deals Conference (March 10-11, 2022, Park City, UT), presented “The Corporate Forum” (with Christina M. Sautter)

Center for Advanced Studies on the Foundations of Law and Finance, Goethe Universität Frankfurt, Workshop *The Metamorphosing Landscape of Investor Capitalism* (December 13-14, 2021– online), presented “The Corporate Forum” (with Christina M. Sautter)

ECGI, Bocconi University, and New York University, Workshop *New Frontiers of Shareholders Engagement* (October 14, 2021 – online), presented “The Corporate Forum” (with Christina M. Sautter)

Department of Business Law and Taxation, Monash Business School, BLT Research Session (July 16, 2021 – online), presented “Corporate Governance Gaming: The Collective Power of Retail Investors”

Centre for Commercial Law and Regulatory Studies, Monash Law School, Webinar *The 21<sup>st</sup> Century Berle & Means Corporation: A Revolution in Retail Investing* (July 9, 2021 – online), presented “Corporate Governance Gaming: The Collective Power of Retail Investors” (with Christina M. Sautter)

2021 National Business Law Scholars Conference (June 17-18, 2021 – online), Panel *Meme Stocks*, presented “Corporate Governance Gaming: The Collective Power of Retail Investors” (with Christina M. Sautter)

Law & Society Association, 2021 Annual Meeting, Conference *Crisis, Healing, Re-Imagining* (May 27-30, 2021 – online), Roundtable Session *Where Does Corporate Law Go Next?*, presented “Corporate Governance Gaming: The Collective Power of Retail Investors” (with Christina M. Sautter)

Seattle University School of Law, Twelfth Annual Symposium on Corporation, Law and Society *Corporate Capitalism and the City of God* (May 20-21, 2021 – online), presented “Sacred Corporate Law” (with Mohamed Arafa and Giancarlo Anello)

College of Law and Business in Ramat Gan & Monash University, Roundtable Conference *Artificial Intelligence Challenges to Established Legal Institutions* (March 31-April 1, 2021 – online), presented “Corporate Governance Gaming: The Collective Power of Retail Investors”

Society of Socio-Economists, Monthly Meeting of the Society of Socio-Economists (March 6, 2021 – online), presented “Corporate Governance Gaming: The Collective Power of Retail Investors”

Society of Socio-Economists, 2021 Annual Meeting, Follow-on Discussion on the AALS 2021 Annual Meeting Session *For Whose Benefit Public Corporations? Perspectives on Shareholder and Stakeholder Primacy Issues* (January 10, 2021 – online), Panelist

AALS 2021 Annual Meeting, Section on Socio-Economics, Co-Sponsored by Business Associations, Minority Groups and Securities Regulation, Session *For Whose Benefit Public Corporations? Perspectives on Shareholder and Stakeholder Primacy* (January 8, 2021– online), Panelist

Law & Society Association, 2020 Annual Meeting, Conference *Rule and Resistance* (May 27-31, 2020 – online), Author Meets Readers Session *Can Corporate Governance Be Inclusive? Some Reflections on a Book* on the book “CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL,” Featured Speaker

Queensland University of Technology, *QUT School of Accountancy Research Seminar Series* (March 13, 2020 – Brisbane, AUS), presented “Artificial Agents in Corporate Boardrooms”

Monash University, 2020 Corporate Law Teachers Association Annual Conference, Conference *20/20 Hindsight: Reflections on Perceptions of Trust in Corporations* (February 2-4, 2020 – Melbourne, AUS), presented “Corporations, Technology, the Planet: Shareholding in a Digital Era”

Monash University, 2020 Corporate Law Teachers Association Annual Conference, Conference *20/20 Hindsight: Reflections on Perceptions of Trust in Corporations* (February 2-4, 2020 – Melbourne, AUS), Featured Speaker in the Roundtable on the book “CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL”

Centro Universitário FMU, II Congresso Internacional *Information Society and Law* (November 6-8, 2019 – Sao Paulo, BRA), presented “Technology and Information for Future Corporate Governance” (in videoconference)

Monash Business School, GARNET Research Group, Faculty Workshop (November 4, 2019 – Melbourne, AUS), presented “Archeology, Language, and Nature of Business Corporations”

Monash Business School, Corporate Law, Organisation and Litigation (CLOL) Research Group, Faculty Workshop (August 26, 2019 – Melbourne, AUS), presented “Artificial Agents in Corporate Boardrooms”

Bocconi University, Faculty Workshop on the book *CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL* (May 29, 2019 – Milan, IT), Featured Speaker

Cornell Law School, Open Lecture (April 23, 2019 – Ithaca, NY), presented “Corporation and Society in a Global Perspective”

University of Milan, Faculty of Law, Public Lecture *CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL* (April 2, 2019 – Milan, IT), Featured Speaker

Cornell Law School, Lynn Stout Memorial Conference *The Future of Scholarship on Corporate Law and Finance* (February 1, 2019 – New York, NY), presented “CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL”

The Southeastern Association of Law Schools, 2018 SEALS Annual Conference, Discussion Group *The Role of Corporate Personhood in Masterpiece Cakeshop* (August 11, 2018 – Fort Lauderdale, FL), Panelist

Andean University “Simón Bolívar”, Public Lecture *El Capitalismo De La Gente* (July 26, 2018 – Quito, ECU), Featured Speaker, presented “CITIZEN CAPITALISM: HOW A UNIVERSAL FUND CAN PROVIDE INFLUENCE AND INCOME TO ALL”

Pontificia Universidad Católica del Ecuador, Facultad de Jurisprudencia, Open Lecture (July 25, 2018 – Quito, ECU), presented “Artificial Agents in Corporate Boardrooms”

Bocconi University, Workshop in Memory of Lynn Stout *Art, Cultural Heritage, Natural Features, and a Sound Economy: How Can the Corporate Form Enhance Intergenerational Justice?* (May 30, 2018 – Milan, IT), presented “Archeology, Language, and Nature of Business Corporations”

University of Milan, Faculty of Law, Open Lecture (April 9, 2018 – Milan, IT), presented “Artificial Agents in Corporate Boardrooms”

New York Law School, Faculty Scholarship Series (February 13, 2018 – New York, NY), presented “Archeology, Language, and Nature of Business Corporations”

Fordham University School of Law, ASCL YCC Fourth Workshop on Comparative Business and Financial Law (February 2-3, 2018 – New York, NY), presented “Artificial Agents in Corporate Boardrooms”

Victoria University, Symposium *Food Citizenship and Sustainability* (December 19, 2017 – Wellington, NZ), presented “The EU Common Agricultural Policy, Geographical Indications and Food Citizenship” (in videoconference)

University of Iowa, College of Law, Workshop *The Corporate Person: Criminal Law and Beyond* (October 13-14, 2017 – Iowa City, IA), presented “Archeology, Language, and Nature of Business Corporations”

Cornell Law School – The Edmond J. Safra Center for Ethics, Tel Aviv University, Conference *The Ethical Challenges of the Market* (October 5-6, 2017 – Ithaca, NY), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal”

University of Canterbury (The Centre for Commercial and Corporate Law Inc.), Faculty Seminar *Corporations as Legal Technologies for Society: Between Business and Not-For-Profit* (July 21, 2017 – Christchurch, NZ), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal”

University of Otago, Faculty of Law, Corporate Law Seminar (July 20, 2017 – Dunedin, NZ), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal”

Victoria University, Workshop *The Corporation As Time Machine: Intergenerational Equity, Intergenerational Efficiency, and the Corporate Form* (July 14, 2017 – Wellington, NZ), Discussant

Victoria University, Symposium *Corporations and Other Legal Entities for Society and Future Generations* (July 13, 2017 – Wellington, NZ), presented “Archeology, Language, and Nature of Business Corporations”

University of Milan, Faculty of Law, Open Lecture (June 20, 2017 – Milan, IT), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal”

Georgia State University, College of Law, Ninth Annual Symposium on Corporation, Law and Society *Investor Time Horizon* (June 4-6, 2017 – Atlanta, GA), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal” (with Lynn Stout)

Cornell Law School, Summer Faculty Workshop Series (May 31, 2017 – Ithaca, NY), presented “Corporate Governance as Privately-Ordered Public Policy: A Proposal” (with Lynn Stout)

Cal Poly Pomona, College Business Administration, Symposium *Securing the Future of Freedom: Rethinking Corporate Freedom* (August 2-3, 2016 – Pomona, CA), presented “Business Corporations, Equality and Shareholder Power”

Cornell Law School, Clarke Program on Corporations & Society, Roundtable *Corporations as Legal Persons: Taking Entity Status Seriously* (April 29-30, 2016 – New York, NY), presented “Archeology, Language, and Nature of Business Corporations”

Cornell Law School, Faculty Panel of the Annual Inter University Graduate Conference *Transnational Interests & the Globalization of (In)equality* (April 15, 2016 – Ithaca, NY), Panelist

University of New South Wales, 2016 Corporate Law Teachers Association Annual Conference, Conference *Enduring Issues in, and Reflections on, Corporate Law and Policy over the Past 25 years* (January 31-February 2, 2016 – Sydney, AUS), presented “From Enactment to *Mariner*: Does the Statutory Business Judgment Rule Change the ‘Acoustic Separation’ between Conduct Rules and Decision Rules in Australia?”

## **LANGUAGES**

English, fluent

Italian, native language